

Date	Risk ID	Description	Rationale for consideration	Decision/commentary	Outcome
20/11/2019	2683	Quality of external data submission	Proposal to close risk to SRR	An updated risk assessment was received and due to some of the significance of some of the actions remaining The Committee requested that the risk remains open on the SRR.	To remain managed as a Strategic
20/11/2019	3468	Patient pathway data quality	Proposal for revised risk	Risk 3468 was added to the Access Clinical Business Unit risk register and escalated to the Strategic Risk Register. It was agreed that this risk should replace the risks :- <ul style="list-style-type: none"> • Risk 3270 – EPR incorrect mapping • Risk 3240 – RTT process failures And managed on the Strategic risk register, providing a more comprehensive, overarching summary of the risks that are included in the patient pathway data quality improvement programme.	Risk added to the SRR
20/11/2019 11/12/2019	3350	Wholly Owned Subsidiary	Iterative review	The emergent and changing risk was reviewed at both meeting by the Committee and the mitigation and risk assessment changed as the situation in relation to the WOS evolved during November and December, and a paper to close the risk will be presented to the meeting of the Committee in January.	Risk managed strategically
20/11/2019	3399	Cash and liquidity	Review requested by Finance and performance committee	The risk score was reviewed by the Committee at the request of the Finance and Performance Committee and the score was increased due to the projected financial outturn.	Risk score increased
20/11/2019	3400	Failure to maintain financial stability	Review requested by Finance and performance committee	The risk score was reviewed by the Committee at the request of the Finance and Performance Committee and the score was increased due to the projected financial outturn.	
20/11/2019	3280	Failure to anticipate the possible Brexit outcomes	Risk closed	The Committee were updated in relation to the national position and the EPRR focus on Winter and the implications of the EU Exit. The Committee were advised that the more specific risk relating to a no-deal exit was the key focus. This risk reflected an early position when	Continued review and update as national position (deal/no deal exit/further delay) becomes clearer
20/11/2019 11/12/2019	3467	Gastrointestinal Endoscopy waiting times	Routine review	The Committee discussed this risk which reflects a significant issue with surveillance backlog, and a number of incidents, which are being investigated where potential harm has been identified affecting patients. It noted the ongoing active surveillance through the quality oversight system.	The Committee approved the escalation of the risk to be managed on the Strategic Risk Register
20/11/2019	2968	Microbiology/Infectious Diseases	Routine review	The Committee discussed this risk and the associated assurances being presented to the workforce and quality committees in December	
11/12/2019	3369	Infectious Diseases HIV Microbiology Service	Routine review	The Committee requested an updated position in relation to the control measures in place at its meeting in January	Further review required
20/11/2019 11/12/2019		IRMER CQC Inspection	Clinical business Unit Risk	The Committee reviewed the risk associated with the IRMER CQC inspection and resultant improvement notice and agreed its management on the CBU risk register. It was subsequently informed of the lifting of the improvement notice of the 9 th December 2019 following significant improvement work undertaken by the service, and its ongoing monitoring by the Health and Safety Committee.	
20/11/2019 11/12/2019	3366	Failure to Achieve Emergency Care Standard	Care Group Risk	The Committee agreed this should be escalated to the SRR at the December meeting in relation to the current challenges being managed by the organisation	
11/12/2019	3374	Designation of the Haemoglobinopathy Service	Proposed closure to the SRR	The Committee noted that this risk was initially raised and	Risk closed to SRR

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		as a specialist centre		escalated based on the Haemoglobinopathy service being unable to meet the national specification as a Haemoglobinopathy centre, but as the specialist commissioners have now confirmed that that service should not be a designated specialist centre the Committee agreed that this specific risk is no longer valid; but there needs to be a separate assessment of the risk in terms of operational delivery of the service to patients. .	
11/12/2019	3090	Local integrated care arrangements	Request to consider by the Partnerships Committee	The Committee were made aware of a discussion which took place at Partnerships Committee where Risk ID 3090 was reviewed. The concern of the Partnership Committee was the way the risk was framed. The view of the Partnership Committee was that the risk needed to be closed. A new risk to be opened to better reflect the situation we are in. The attached risk assessment scores a 6. It was noted that there will be specialty level and care group level issues that will need monitoring within this core risk. The Committee agreed to close Risk ID 3090 and open a new risk on the Strategy & Integration directorate risk register	Risk revised and closed to the SRR
11/12/2019	3046	Software Licensing	Proposed closure to the SRR	The Committee were informed that additional mitigation had been put in place and the logout time has been reduced. The Committee agreed to close the risk to the SRR and for it to be managed within the Informatics directorates risk register.	Risk closed to the SRR
11/12/2019		Winter	Planned review of current mitigation and contingencies	The Committee received a presentation describing the current mitigation and contingencies, and requested a formal risk assessment for the January meeting	Risk assessment requested
11/12/2019	3104	Potential loss of aged telephony may impact on ability to deliver clinical services	Reviewed by exception at request of executive lead	The Committee were informed a new risk assessment was being undertaken and would be presented to the January Committee meeting	Further review required
11/12/2019	2417	Risk or patient harm due to diagnostic tests not being acted upon	Reviewed by exception at request of executive lead	The Committee agreed to receive a proposal to close this risk to the SRR at its meeting in January.	
11/12/2019		Waste management	Limited Assurance Internal Audit	The Committee contextualised this limited assurance report with the existing risk being managed strategically and noted the oversight of the action plan which is being developed in response by the Health and Safety Committee.	To review routinely with the review of the strategic risk